

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
Kevin FAULKNER et al.	)	Group Art Unit: 2188
	)	
Application No.: 10/787,322	)	Examiner: Not yet assigned
	)	
Filed: February 27, 2004	)	
	)	
For: SYSTEMS AND METHODS FOR	)	Confirmation No.: 4323
DYNAMICALLY UPDATING A	)	
VIRTUAL VOLUME IN A	)	
STORAGE VIRTUALIZATION	)	
ENVIRONMENT	)	

**FILED ELECTRONICALLY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

The listed documents were cited in the Office Action dated November 30, 2006 in Application Serial No. 10/787,323. Copies of the United States Patents and Patent Application Publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicants believe no fee is due. However, if there is any fee due in connection with the filing of this Statement, please charge the fee to Applicants' Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNN, L.L.P.

Dated: January 8, 2007

By:   
Maura K. Moran  
Reg. No. 31,859

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/787,322
Filing Date	February 27, 2004
First Named Inventor	Kevin FAULKNER
Art Unit	2188
Examiner Name	Not yet assigned
Attorney Docket Number	06502.0569-00000

Sheet 1 of 1

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
		4,607,365	8/19/1986	Greig et al.	
		5,140,592	8/18/1992	Idleman et al.	
		5,504,882	4/2/1996	Chai et al.	
		6,092,216	7/18/2000	Kobayashi et al.	
		7,003,687	2/21/2006	Matsunami et al.	
		7,111,189	9/19/2006	Sicola et al.	
		US2004/0148380	7/29/2004	Meyer et al.	
		US2004/0233910	11/25/2004	Chen et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
		Country Code Number Kind Code (if known)				

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.